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Substitute from 1449A/PTO

SUBSTITUTE TO ME 1449A/PTO

SUB Complete if Known 10/807,091 Application Number STATEMENT BY APPLICANT Filing Date March 23, 2004 First Named Inventor Darryl A. Bourgoyne (Use as many sheets as necessary) Group Art Unit 3672 **Examiner Name** Hoang C. Dang Sheet 1 of 6 **Attorney Docket Number** 65501.0050

Examiner		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
initials*	No.'	Number-Kind-Code 2 (FRAME)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan
HD_		US - 3,405,763	10-1968	Pitts, et al	
1		US - 3,421,580	01-1969	Fowler, et al.	
		US - 3,443,643	05-13-1969	Marvin R. Jones	Col. 3, Ins. 53-63; Col. 4, Ins. 8-18' see 5S below
		US - 3,583,480	06-1971	John Regan	
		US - 3,638,721	02-01-1972	Otto R. Harrison	See 6/26/2001 IPER for PCT/GB00/00726; Fig. 2; see 5L, 5O, 5P, 5Q, 5R, 5T, 5U, 5V, 5W below
		US - 3,827,511	08-06-1974	Marvin R. Jones	See 5T, 5U, 5V, 5V below
		US - 3,847,215	11-1974	Herd	
		US - 3,924,678	12-1975	Ahlstone	
		US - 4,046,191	09-06-1977	Robert Arthur Neath	See 5U, 5V, 5W below
		US - 4,149,603	04-17-1979	James F. Amold	See 5U below
		US - 4,157,186	06-05-1979	Edwin G. Murray, et al.	See 5U, 5V below
		US - 4,313,054	01-1982	Richard M. Martini	
		US - 4,353,420	10-1982	Miller	
-		US - 4,355,784	10-26-1982	Lester L. Cain	See 5U, 5V below
		US - 4,440,232	04-1984	Joseph L. LeMoine	
		US - 4,484,753	11-27-1984	Mammohan S. Kalsi	
		US - 4,509,405	04-1985	H. John Bates	
		US - 4,540,053	09-1985	Baugh, et al.	
W		US - 4,597,448	07-01-1986	Benton F. Baugh	
HD		US - 4,626,135	12-02-1986	Joseph R. Roche	See 11/29/2004 European Pat. Office Action for Applicatio No. 00906522.8; see 7/22/2005 Norway Pat. Office Action of Application No. 20013952; See 8/26/2001 IPER for PCT/GB00/00726;

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Substitute for form 1449A/PTO		Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/807,091		
STATEMENT BY APPLICANT	Filing Date	March 23, 2004		
(Use as many sheets as necessary)	First Named Inventor	Darryl A. Bourgoyne		
	Group Art Unit	3672		
	Examiner Name	Hoang C. Dang		
Sheet 2 of 6	Attorney Docket Number	65501.0050		

	U.S. PATENT DOCUMENTS							
				see 5A 5G, 5I, 5J, 5L, 5M, 5O, 5P, 5Q below				
HD	US - 4,736,799	04-1988	Ahistone					
	US 4,813,495	03-21-1989	Colin P. Leach	See 11/1/2004 Norway Patent Office Action for Patent Application No. 20003950; see 5T below				
	US - 4,832,126	05-23-1989	Joseph R. Roche					
	US - 5,163,514	11-17-1992	Charles E. Jennings	See Col. 1, Lns. 44-51; Col. 2, In. 62 to Col. 3, In. 57; and Figs 1 & 2; see 5B below				
	US - 5,195,754	03-23-1993	Lannie Dietle					
	US - 5,230,520	07-27-1993	Lannie Dietle, et al.					
	US - 5,251,869	12-12-1993	Benny M. Mason					
	US - 5,325,925	07-1994	Smith, et al.					
	US - 5,348,107	09-1994	Thomas F. Bailey, et al.					
	US - 5,607,019	03-1997	Kent					
	US - 5,662,171	09-02-1997	James D. Brugman, et al.					
	US - 5,662,181	09-02-1997	John R. Williams	See YYYY, 5L, 5M, 5N, 5O, 5P, 5Q, 5U, 5V, 5X below; the whole document				
	US - 5,671,812	09-1997	Bridges					
	US - 5,678,829	10-21-1997	Mammohan S. Kalsi, et al.					
	US - 5,738,358	04-14-1998	Mammohan S. Kalsi, et al.					
	US - 5,823,541	10-20-1998	Lannie Dietle, et al.					
	US - 5,873,576	02-23-1999	Lannie Deitle, et al.					
	US - 5,944,111	08-1999	Bridges					
	US - 6,007,105	12-28-1999	Lannie Dietle, et al.					
	US - 6,016,880	01-2000	Hall, et al.					
	US - 6,036,192	03-14-2000	Lannie Deitle, et al.					
	US - 6,102,673	08-2000	Mott, et al.					
	US - 6,109,348	08-2000	Caraway					
V	US - 6,109,618	08-29-2000	Lannie L. Dietle					
HD	US - 6,129,152	10-10-2000	Hosie, et al.	Cal. 10, In. 22-Col. 12, In. 31; Figs. 1 & 2; see YYYY, 5X below				

Examiner	/2	Date	10/15/2006
Signature	/Hoang Dang/ (09/17/2006)	Considered	10/13/2000

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INFORMATION DISCLOSURE				Application Number	10/807,091		
STATEMENT BY APPLICANT (Use as many sheets as necessary)			LICANT	Filing Date	March 23, 2004		
				First Named Inventor	Darryl A. Bourgoyne		
	-			Group Art Unit	3672		
				Examiner Name	Hoang C. Dang		
Sheet	3	of	6	Attorney Docket Number	65501.0050	4	

		U.	S. PATENT DOCUMENTS	
-	US - 6,138,774	10-31-2000	Adam T. Bourgoyne, Jr., et al.	See Figs & claims 1-3, noting bearing assembly 60 located in subsea apparatus above a borehole; see 5C, 5F below
HD.	US - 6,202,745	03-2001	Reimert, et al.	
	US - 6,227,547	05-08-2001	Lannie Dietle, et al.	
	US - 6,230,824	05-2001	Peterman, et al.	
	US - 6,354,385	03-12-2002	Ford, et al.	
	US - 6,457,529	10-01-2002	lan Douglas Calder, et al.	See 5X below
	US - 6,470,975	10-29-2002	Bourgoyne, et al.	See ZZZZ, 5C, 5X below
	US - 6,478,303	11-12-2002	Radcliffe	
	US - 6,547,002	04-15-2003	Bailey, Thomas F., et al.	See 5X below
	US - 6,554,016	04-29-2003	Joseph Kinder	
	US - 6,702,012	07-03-2003	Bailey, Thomas F., et al.	See 5X below
	US - 6,732,804	05-2004	Hosle, et al.	
	US - 6,749,172 B2	06-15-2004	Joseph Kinder	
	US - 6,843,313	12-13-2001	Hult	
	US - 6,913,092	07-2005	Darryl Bourgoyne	
	US - 7,004,444	02-28-2008	Joseph Kinder	
	US - 7,040,394	05-06-2004	Bailey, Thomas F., et al.	Figs. 1, 7a, 7b, 8; see YYYY, 5X below
	US - 7,080,685 B2	01-06-2005	Bailey, Thomas F., et al.	See 5X below
	US - 2001-0050185	12-13-2001	lan Douglas Calder	Pg. 2, In. 15, ¶17-In. 24; Fig. 2; see YYYY, ZZZZ below
	US - 2003/0102136	06-05-2003	Nelson, et al.	
	US - 2003/0106712-A1	06-12-2003	Darryl Bourgoyne	
	US - 2004/0238175	12-2004	Wade, et al.	
	US - 2005/241833 A1	03-11-2005	Thomas F. Bailey	
1/	US - 2005/0151107	07-14-2005	Shu	
V	US - 2006/0102387 A1	05-18-2006	Darryl A. Bourgoyne, et al.	
HD	US - 2006/0108119A1	05-25-2006	Thomas F. Bailey, et al.	See 5X below

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<u> </u>	/Hoang Dang/ (09/17/2006)		10/15/2006
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Substitute	for form 1449A	PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			DSURE	Application Number	10/807,091		
			LICANT	Filing Date	March 23, 2004		
	(Use as many sheets as necessary)			First Named Inventor	Darryl A. Bourgoyne		
				Group Art Unit	3672	-	
				Examiner Name	Hoang C. Dang		
Sheet	4	of	6	Attorney Docket Number	65501.0050		

						ATENT DOCUMENTS	_	
Examiner Initials*	Cits	Cits No.1	Cita No.1	Foreign Patent Document		Publication Date Name of Patentee or MM-DD-YYYY Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country	Code 1" Number * Kind	Code Notice	Matropo	Applicant of Otto Document	Passages of Relevant Figures Appear	
HD		CA	2363132	A1	09-08-2000	Darryl A. Bourgoyne, et al.	See US - 6,470,975	
		CA	2447196	A1	04-30-2004	Thomas F. Bailey, et al.	See US - 7,040,394	
1:		GB	2394741	Α	05-05-2004	Thomas F. Bailey, et al.	See US - 7,040,394	
		EP	0290250	A2	11-09-1988	Conoco, Inc.	Col. 5, Ins. 1-65; Fig. 2; see 5B below and US - 4,813,495	
		EP	0290250	A3	11-09-1988	Conoco, Inc.	See attached European Search Report for Appln. EP 88 30 4057 completed 08-22- 1989 and US – 4,813,495	
		AU	199927822	_B2	09-10-1999	Williams Tool Co., Inc.	See US - 6,138,774	
		AU_	200028183	_A1	09-08-2000	Weatherford/Lamb. Inc.	See US - 6.470.975	
		AU	200028183	B2	09-08-2000	Weatherford/Lamb, Inc.	See US - 6,470,975	
\bot		GB_	2,067,235	Α	07-1981	Diehl		
		EP	267,140	B1	03-31-1993	Galle, et al.		
·		PCT	WO99/5052	4 A2	10-07-1999	Mott, et al.		
		PCT	WO99/518	52 A1	10-14-1999	Bridges, et al.		
W		PCT	WO99/505	24 A3	10-07-1999	Mott, et al.		
HD		PCT	WO00/522	99 A	09-08-2000	Don M. Hannegan, et al.	See Figs. & claims, noting housing 14 and bearing assembly 28; see ZZZZ, 5C below; see US - 6,470,975	

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Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/807,091	
STATEMENT BY APPLICANT	Filing Date	March 23, 2004	
(Use as many sheets as necessary)	First Named Inventor	Darryl A. Bourgoyne	
	Group Art Unit	3672	
	Examiner Name	Hoang C. Dang	
Sheet 5 of 6	Attorney Docket Number	65501.0050	

U.S. PATENT RELATED APPLICATIONS				
Ex Initials	APPLICATION NO.	ATTY, DOCKET NO.	Status or Patentee	Date of Filing or Issue
•	60/079,641	09432/160001	Abandoned, but Priority Claimed in above U.S. Patent Nos. 6,230,824B1 and 6,102,673 and PCT WO 99/50524	03-27-1998
	60/122,530	055010.0022	Priority Claimed in U.S. Patent No. 6,470,97581	03-02-1999

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Cite No. Initials*		Include Name of first Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), volume-issue number(s), page(s), date (in Parentheses). If a book, also include publisher and city and/or county where published.			
HD	XXXX	TANGEDAHL, M.J., et al. "Rotating Preventers: Technology for Better Well Control", World Oil, Gulf Publishing Company, Houston, TX, US, Vol. 213, No. 10, 10/1992, (1992-10-01) numbered pages 63-64 and 68 (3 pages) XP 000288328 ISSN: 0043-8790 (see YYYY, 5X below)			
	YYYY	European Search Report for EP 05 27 0083, Application No. 05270083.8-2315, European Petent Office, March 2, 2006 (5 pages)			
	ZZZZ	Netherlands Search Report for NL No. 1026044, dated 12/14/05 (3 pages)			
	5A	Int'l. Search Report for PCT/GB 00/00731 corresponding to U.S. Patent No. 6,470,975 (6/16/00) (2 pages)			
	5B	GB0324939.8 Examination Report corresponding to U.S. Patent No. 6,470,975 (3/21/06) (6 pages)			
	5C	GB0324939.8 Examination Report corresponding to U.S. Patent No. 6,470,975 1/22/04) (3 pages)			
	5D	2003/0106712 Family Lookup Report (6/15/06) (5 pages)			
	5E	6,470,975 Family Lookup Report (6/15/06) (5 pages)			
		AU S/N 28183/00 Examination Report corresponding to U.S. Patent No. 6,470,975 (1 page) (9/9/2002)			
$\overline{\mathbf{V}}$		NO S/N 20013953 Examination Report corresponding to U.S. Patent No. 6,470,975 w/one page of English translation (3 pages) (4/29/2003)			
HD		NESSA; D.O. & Tangedahl, M.L. & Saponja, J.: PART 1: "Offshore underbalanced drilling system could revive field developments", World Oil, Vol. 218 No. 7, Cover Page, 3, 61-64 and 66 (July 1997); and PART 2: "Making this valuable reservior drilling/completion technique work on a conventional offshore drilling platform." — World Oil, Vol. 218 No. 10, Cover Page, 3, 83, 84, 86 and 88 (October 1997) (see 5A, 5G above and 5l below)			

Examiner Signature	/Hoang Dang/ (09/17/2006)	Date Considered 10/1	5/2006

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(Use as many sheets as necessary)			LICANT	Filing Date	March 23, 2004	
				First Named Inventor	Darryl A. Bourgoyne	
				Group Art Unit	3672	
				Examiner Name	Hoang C. Dang	
Sheet	6	of	6	Attorney Docket Number	65501.0050	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
HD 51		Int'l. Search Report for PCT/GB 00/00731 corresponding to U.S. Patent No. 6,470,975 (4 pages) (6/27/2000)					
	5)	Int'l. Preliminary Examination Report for PCT/GB 00/00731 corresponding to U.S. Patent No. 8,470,975 (7 pages) (12/14/2000)					
_ ,	5K	NL Examination Report for WO 00/52299 corresponding to this U.S. S/N 10/281,534 (3 pages) (12/19/2003)					
	5L	AU S/N 28181/00 Examination Report corresponding to U.S. Patent No. 6,263,982 (1 page) (9/6/2002)					
	5M	EU Examination Report for WO 00/906522.8-2315 corresponding to U.S. Patent No. 6,263,982 (4 pages) (11/29/2004)					
	5N	NO S/N 20013952 Examination Report w/two pages of English translation corresponding to U.S. Patent No. 6,263,982 (4 pages) (7/22/2005)					
	50	PCT/GB00/00726 Int'l. Preliminary Examination Report corresponding to U.S. Patent No. 6,263,982 (10 pages) (6/26/2001)					
	5P	PCT/GB00/00726 Written Opinion corresponding to U.S. Patent No. 6,263,982 (7 pages) (12/18/2000)					
	5Q	PCT/GB00/00726 International Search Report corresponding to U.S. Patent No. 6,263,982 (3 pages) (3/2/1999)					
	5R	AU S/N 27822/99 Examination Report corresponding to U.S. Patent No. 6,138,774 (1 page) (10/15/2001)					
	58	EU 99908371.0-1266-US9903888 European Search Report corresponding to U.S. Patent No. 6.138,774 (3 pages) (11/2/2004)					
	5T	NO S/N 20003950 Examination Report w/one page of English translation corresponding to U.S. Patent No. 6,138,774 (3 pages) (11/1/2004)					
	5U	PCT/US990/03888 Notice of Transmittal of International Search Report corresponding to U.S. Patent No. 6,138,774 (6 pages) (8/4/1999)					
	5V	PCT/US99/03888 Written Opinion corresponding to U.S. Patent No. 6,138,744 (5 pages) (12/21/1999)					
$\underline{\mathbb{V}}$	5W	PCT/US99/03888 Notice of Transmittal of International Preliminary Examination Report corresponding to U.S. Patent No. 6,138,774 (15 pages) (6/12/2000)					
HD	5X	EU Examination Report for 05270083.8-2315 corresponding to US 2006/0108119 A1 published 5/25/2006 (11 pages) (5/10/2006)					

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	/Hoang Dang/ (09/17/2006)	Date	10/15/2006
I Signature	/oang bang/ (03/11/2000)	Considered	10/13/2000
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